DOMAIN NAME LIFECYCLE POLICY FOR THE TLDS .KOELN / .COLOGNE

A. Purpose of this document

The purpose of this policy is to describe the various states that a domain name may be in during its life.

B. Registration Life Cycle

The registration system used by dotKoelnGmbH and maintained by RyCE GmbH to operate the .koeln and .cologne TLD implements a registration life cycle that conforms with best practices and procedures widely used by existing top level domain registries. While the life cycle fully complies with all relevant EPP RFCs, it also simplifies the processing of automatic domain renewals in order to ease domain data management for registrars.

In the following, the various triggers, states and transitions involved in the registration life cycle (denoted by capital letters in parentheses) are described in detail.

a. Domain Creation

State (A)
After receiving a <domain:create> command from the registrar's EPP client, the specified domain name is checked for availability and compliance with the registry's rules and policies. If these checks are passed, a corresponding domain object is created in the repository. Its expiration date is set according to the registration period specified in the <domain:create> command (1-10 years) and the EPP command's time stamp.

With its creation, the domain also enters the Add Grace Period (AGP), which lasts 5 days; during this time frame, the registrar may delete the domain for a full refund of the registration fee (as long as the limits specified by the AGP Limits Policy are not exceeded). Also, a domain deleted during the AGP will not enter the Redemption Grace Period (RGP), but will instead be released immediately. To indicate the AGP, the domain's Grace Period (GP) status according to RFC 3915 is set to "addPeriod"; this status is automatically removed after the end of the AGP.

State (B)
The domain is registered. Provided that at least two name servers are present in the domain and the domain has not been put into status "clientHold" or "serverHold", it is published in the TLD zone and carries the EPP status "ok". If no name servers are associated with the domain, the domain carries the EPP status "inactive" to indicate that no delegation information is present.

Note that a .koeln or .cologne domain may either have zero name servers or 2-13 name servers; the case of exactly one name server is prohibited by server policy. In any case, the domain's current data is published on the Whois server (according to the disclosure settings set by the registrar).

b. Domain Update

State (C)
After receiving an EPP <domain:update> command, the domain is modified in the repository according to the data specified in the command. The domain returns to the registered state (B). Should the update change the domain's name servers or its "clientHold" status, its publication in the TLD zone is affected according to the condition described in state (b). An update command may set other domain status values, such as "clientDeleteProhibited"; see below for a full list of all supported status values. The TLD name servers and Whois servers are updated to reflect the domain's new data.

c. Domain Renewal (Automatic or Explicit)

State (D)
If a domain reaches its expiration date, it is automatically renewed; it will not be deleted, but remains in the registered state. Note that, in order to avoid unduly disruption of the domain's operation, this automatic renewal will even take place if the domain carries the status "clientRenewProhibited"; this status will only disallow the explicit renewal of domains.

State (E)
With reaching its expiration date, the domain enters the so-called "Auto Renew Grace Period" (ARGP), which lasts 45 days. During this time period, the registrar has the opportunity of deleting the domain name without being charged for the renewal. During the Auto Renew Grace Period, the domain carries the "autoRenewPeriod" GP status, which is also displayed in the Whois.

State (F)
If the end of the ARGP is reached the domain's "autoRenewPeriod" GP status is removed.

State (G)
After explicit or automatic renewal, the domain's expiration date is increased. The domain's Whois output is changed to reflect this.

State (H)
If the registrar explicitly renews a domain by sending a <domain:renew> EPP command, the Registration System increases the domain's expiration date according to the period value specified in the command. Note that a domain's remaining registration period may not last more than 10 years; renewal requests that would make a domain exceed this limit are rejected. The registrar is charged with the corresponding renewal fee. The domain's "Renew Grace Period" is started, which lasts 5 days; during this period, the domain may be deleted for a full refund of the renewal fee. This is indicated via the "renew-Period" GP status, which is automatically removed when the Renew Grace Period ends.

d. Domain Deletion

State (I)
After receiving an EPP <domain:delete> command, the deletion of the domain from the repository is initiated.

State (J)
If the domain is in its AGP when the delete command is received, it will be released immediately, i.e. it will be available for new registrations right away. The domain will not enter the Redemption Grace Period (RGP) in this case, and the registrar receives a refund of the registration fee (as long as the limits specified by the AGP Limits Policy are not exceeded).

State (K)
The domain is released (i.e., purged from the repository) and available for new registrations. This marks the end of the domain's life cycle. If the domain was in its Add, Auto Renew, Renew or Transfer Grace Period when the delete command was received, the related charges are refunded to the sponsoring registrar.

e. Domain Restore After Deletion - the Redemption Grace Period (RGP)

State (L)
If the domain is not in its AGP when the delete command is received, it enters the Redemption Grace Period (RGP), which lasts 30 days. This means that the domain is not released immediately, but is only put into the EPP status "pendingDelete" (which is also displayed in the domain's Whois output) and withheld from DNS publication.

The Registration System fully supports the Redemption Grace Period procedures and protocols, as defined by RFC 3915. During the RGP, the domain may be restored by the previous registrar by sending a <domain:update> command carrying an EPP RGP extension according to the RFC.

State (M)
The domain is in the Redemption Grace Period (RGP). During this phase, it is not present in the TLD zone. The domain carries the EPP status "pendingDelete" and the RGP status "redemptionPeriod" according to RFC 3915.

State (N)
If the domain is not restored by the previous registrar before the end of the RGP, the domain will be scheduled for release. The EPP status "pendingDelete" is retained, the domain's RGP status is changed to "pendingDelete".

State (O)
The domain is no longer restorable by the registrar and due for release. It will remain in this state for a time period defined by registry policy; this could, for example, be a variable time period with a random offset in order to make the release date and time less predictable for domain snipers. Once this time period ends, the domain is released and put into the final state (K).

State (P)

If the previous registrar restores the domain before the end of the RGP (by sending a <domain:update> command carrying an EPP RGP extension according to RFC 3915), the domain's RGP status is changed to "pendingRestore". If the registrar also sends the RGP restore report within 5 days (or along with the update command), the pendingDelete" status value is removed from the domain and the domain will be put back into the registered state (B). If the conditions described under (B) are met, the domain will be re-added to the TLD zone. If, however, the restore report is not received within 5 days, the domain goes back into the RGP (RGP status "redemptionPeriod"), i.e. into state (M); the RGP is not restarted in this case, but is resumed at the point when the restoration was initiated by the registrar.

f. Domain Transfer

State (Q)

Upon request by a domain's registrant, a registrar (called "gaining" registrar in this case) may request to transfer a domain name currently sponsored by a different registrar (the so-called "losing" registrar) into its own domain portfolio. This is done by sending an EPP <domain:transfer> command with operation "request". After receiving such a command, the domain is marked with a "pendingTransfer" EPP status value. <domain:trnData> EPP poll messages are placed in the message queues of both gaining and losing registrar to inform them about the transfer request. The gaining registrar is charged with the transfer fee.

A request for a domain transfer will only succeed if certain conditions are met. In particular, the provided authorisation information must be correct, and the domain must not have the "clientTransferProhibited" or "serverTransferProhibited" status values set. Note that the status "serverTransferProhibited" is automatically set and maintained for 60 days by the SRS after a domain is first created, as well as after each successful registrar transfer. This is common practice among registries and avoids the problem of "registrar hopping", i.e. frequent registrar changes (after e.g. hijacking a domain name) in order obstruct takedown procedures.

State (R)

The domain transfer is pending. The Registration System waits for either the transfer to time out (after 5 days), or for the reception of an approval, rejection or cancellation before the time-out. The losing registrar may approve or reject the transfer by sending an EPP <domain:transfer> command with operation "approve" or "reject", respectively. The gaining registrar may cancel the transfer by sending an EPP <domain:transfer> command with operation "cancel".

State (S)

The transfer was completed successfully, either by approval of the losing registrar or by time-out (which by default automatically approves the transfer; this behaviour is configurable). The "pendingTransfer" EPP status value is removed from the domain. The domain is assigned to the gaining registrar and removed from the losing registrar's portfolio. <domain:trnData> poll messages are placed in the message queues of both gaining and losing registrar. The domain returns to status (B). A successful transfer starts the domain's "Transfer Grace Period" (TGP) which lasts 5 days; during the TGP (which is indicated by the "transferPeriod" GP status), the domain may be deleted by the gaining registrar for a full refund of the transfer fee.

State (T)

The transfer was unsuccessful, i.e. it was rejected by the losing registrar or cancelled by the gaining registrar. The EPP status "pendingTransfer" is removed from the domain. <domain:trnData> poll messages are placed in the message queues of both gaining and losing registrar. The domain returns to status (B). The transfer fee previously charged to the gaining registrar is refunded.

g. EPP and Grace Period Status Values

As described above, the domain life cycle involves various EPP Domain and Grace Period status values and uses them in compliance with RFCs 5730-5733 and 3915 (note that RFC 5910 does not specify any status values). This section provides an overview of the status values and describes whether and how they are used in the life cycle.

In general, status values starting with "client" may only be set or removed by the registrar, while all other status values (including those starting with "server") may only be set or removed by the registry, either automatically or manually by registry staff.

EPP Domain Status Values (from RFC 5731)

- clientDeleteProhibited: Indicates that the domain cannot be deleted by a <domain:delete> command.
- clientHold: Indicates that the domain is not published in the zone.
- clientRenewProhibited: Indicates that the domain cannot be renewed by an explicit <domain:renew> command; the status does not prevent automatic renewal.
- clientTransferProhibited: Indicates that the domain cannot be transferred.
- clientUpdateProhibited: Indicates that the domain cannot be modified.
- inactive: The domain has no delegation information, i.e. no name servers are associated. The domain is not published in the zone.
- ok: The domain is active, i.e. it resolves, has no pending operations or prohibitions, and carries no other status values.
- pendingCreate: Indicates that the domain's creation is pending, i.e. that an asynchronous process is in progress to finish the domain's creation. This status is supported, e.g. for use during launch phases such as Sunrise and Landrush (to indicate that a domain application's asynchronous review is pending); please refer to the answer to question 29 (Rights Protection Mechanisms) for more information about the special life cycle support offered by the Registration System for launch phases.
- pendingDelete: Indicates that the domain is being deleted; depending on: Indicates that the domain is pending a renewal. While supported by the Registration System, this status not used in the designated domain life cycle.
- pendingTransfer: Indicates that the domain is in the process of being transferred from one registrar to another registrar.
- pendingUpdate: Indicates that an update to the domain is pending, i.e. that an asynchronous process is in progress to finish the domain's modification. While supported by the Registration System, this status not used in the designated domain life cycle.
- serverDeleteProhibited: Indicates that the domain cannot be deleted.

- serverRenewProhibited: Indicates that the domain cannot be renewed by an explicit <domain:renew> command; the status does not prevent auto-renewal.
- serverTransferProhibited: Indicates that the domain cannot be transferred. This status is automatically set and maintained for 60 days by the SRS after a domain is first created, as well as after each successful registrar transfer.
- serverUpdateProhibited: Indicates that the domain cannot be modified.

EPP Grace Period Status Values (from RFC 3915)

- addPeriod: Indicates that the domain is in the Add Grace Period.
- autoRenewPeriod: Indicates that the domain is in the Auto Renew Grace Period.
- renewPeriod: Indicates that the domain is in the Renew Grace Period.
- transferPeriod: Indicates that the domain is in the Transfer Grace Period.
- pendingDelete: Indicates that a deleted domain is scheduled for release, i.e. it can no longer be restored by the registrar.
- pendingRestore: Indicates that a request to restore a deleted domain has been received, and that the registry awaits the restore report from the registrar.
- redemptionPeriod: Indicates that a deleted domain is in its Redemption Grace Period, i.e. it may be restored by the registrar.

h. Consistence with Commitments to Registrants

The registration life cycle described above is consistent with the registry's commitments to registrants, as laid out in the answer to Question 30a of the TLD application. In particular, the handling of auto-renewals and the Redemption Grace Period ensures the "Protection of Investment" part of that commitment, since it protects the domain from vanishing unintendedly.

i. Resourcing Plans

The Registration System already supports the life cycle described above at the time of writing. Since the system is highly configurable, the adjustment of any variables and flags defining the process (such as name validity policies, or the durations of involved grace periods and time-outs) merely requires changing the respective settings within the system configuration. No coding is required for this, which means that no special developing resources will be needed. However, the system operator's staff on duty will need to define the related policies and set up the system to support and maintain the desired registration life cycle.

j. Uniform Rapid Suspension

In handling complaints, dotKoeln GmbH will obligate registrars to adhere to the relevant resolution procedures set out by ICANN, i.e. the Uniform Domain Name Dispute Resolution Policy (UDRP) and the Uniform Rapid Suspension (URS).

This means that in cases of trademark infringement the registrar shall inform the claimant that he/she can file a claim with the respective service providers and provide the relevant contact

information. dotKoeln GmbH will then implement all decisions made throughout the resolution process (cf. ICANN application question 29 "Rights Protection Mechanism")
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ICANN has implemented a Uniform Rapid Suspension system; information about ICANN's Implementation of Uniform Rapid Suspension system can be found at the following link http://newgtlds.icann.org/en/applicants/urs.

C. Definition and Review

This document has been prepared and published to represent our policy regarding the administrative and technical management of the TLDs .koeln and .cologne.

dotKoeln GmbH may discontinue or amend any part or the whole of this policy from time to time at its absolute discretion.